

The role of boundary conditions in quantum computations of scattering observables

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Quantum computing may offer the opportunity to simulate strongly-interacting field theories, such as quantum chromodynamics, with physical time evolution, in contrast to the Euclidean-signature calculations routinely performed at present. However, all simulation strategies considered to date still require defining the theory in a finite periodic volume. In this work we investigate the consequences of this modification for both purely hadronic and Compton-like amplitudes. Using the framework presented **Phys. Rev. D** **101** **014509** (2020), we quantify the effects for various 1+1D systems and show that these can be a significant source of systematic uncertainty, even for volumes that are very large by the standards of modern Euclidean calculations. We further show that a combination of different momenta in the finite-volume frame, together with binning over various kinematic variables, can suppress the unwanted volume distortions and improve the extraction of scattering amplitudes.

Keywords: finite volume, lattice QCD

I. INTRODUCTION

Non-perturbative descriptions lie at the core of a variety of interesting physical systems, ranging from strong electromagnetic fields, to topological effects in condensed matter systems, to the possible meta-stability and decay of the Standard Model vacuum. Another prominent example is quantum chromodynamics (QCD), the fundamental theory of the strong nuclear force, for which the properties of the low-energy degrees of freedom (the hadrons) cannot be analytically related to the underlying quantum fields (the quarks and gluons). To provide reliable predictions for such non-perturbative systems, one must often rely on numerical calculations. In the case of QCD, the most rigorous and well-established methodology is to numerically estimate a discretized version of the path integral, using Monte Carlo importance sampling.

This technique, known as lattice QCD relies on the analytic continuation of the path-integral to Euclidean signature, such that the integrand becomes sharply peaked and can be reliably sampled in the high-dimensional space of field configurations. Consequently, correlation functions determined using numerical lattice QCD generally do not have an obvious relation to physical observables, expressed in terms of Minkowski-signature correlators. The mismatch is especially relevant for dynamical observables that are intrinsically related to the time evolution of a system, including scattering and decay amplitudes as well as conductivities, viscosities, and other parameters describing the evolution of QCD under extreme conditions.

This has motivated a surge of activity to develop novel techniques that may provide direct access to Minkowski correlation functions. These efforts broadly fall under two camps: The first is to consider new Monte Carlo techniques that allow for a sampling of highly oscillatory integrands, for example by identifying field redefinitions that reduce the oscillatory behavior while leaving the resulting integral unchanged. Such techniques have already proven to be useful; see for example the Monte Carlo study of real time dynamics published in Ref. [1]. The second proposal is to reformulate the problem into one that is suitable to quantum computing techniques. We point the reader to Ref. [2] for a review on these ideas and Refs. [3–14] for recent applications. Indeed, in a recent whitepaper [15], USQCD has encouraged the lattice QCD community *to embark on an effort to understand the potential of quantum computing and quantum information science for QCD calculations important to high-energy and nuclear physics of the future.*

In this work, we discuss prospects for extracting scattering amplitudes from Minkowski-signature, finite-volume correlation functions. We consider both $2 \rightarrow 2$ hadronic amplitudes and $1 + \mathcal{J} \rightarrow 1 + \mathcal{J}$ Compton-like amplitudes,

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in which a hadron scatters off an external current. As discussed in further detail in the following sections, the Compton-like amplitudes turn out to be conceptually simpler for the analysis that we consider here.

Both classes of amplitudes are well motivated by a broad range of phenomenology. For example, the high-energy and high-virtuality limits of Compton scattering can be used to determine Parton Distribution Functions (PDFs) and Generalized Parton Distributions (GPDs). Such distributions are at the core of the present-day Jefferson Lab 12 GeV program as well as the Department of Energy's future Electron Ion Collider (EIC). In addition, this same class of amplitudes may give access to inclusive neutrino-nucleon scattering, a pressing need for future analysis of Fermilab's Deep Underground Neutrino Experiment (DUNE).

Although many aspects of the detailed set-up differ between Euclidean-signature lattice calculations on classical computers and Minkowski-signature quantum computations, both require a truncation of the single-particle Hilbert space, i.e. a finite set of discrete momenta, in order to define a system that fits on a finite-sized computer. As a result, both approaches will give access to finite-volume correlation functions, which can be written as discrete sums involving finite-volume matrix elements and energies. As a specific example, we consider the Minkowski-signature finite-volume correlator most closely related to Compton scattering

$$\begin{aligned} C_{\mathbf{p},L}^{\text{M}}(t) &\equiv \langle \mathbf{p}, L | \text{T} \{ J_{-\mathbf{p}}^\dagger(t) J_{\mathbf{p}}(0) \} | \mathbf{p}, L \rangle, \\ &= \sum_n c_n(\mathbf{p}, L) e^{-i[E_n(L) - E_{\mathbf{p}}(L)]t}, \quad (t > 0), \end{aligned} \quad (1)$$

where in the second step we have inserted a complete set of finite-volume states, assuming $t > 0$. Here $c_n(\mathbf{p}, L)$ is defined as a product of matrix elements of the current $J_{\mathbf{p}}(0)$, the details of which are irrelevant. The time dependence is governed by the difference of $E_{\mathbf{p}}(L)$, the finite-volume energy of a single-particle state $|\mathbf{p}, L\rangle$ with momentum \mathbf{p} , and $E_n(L)$, the n th finite-volume excited-state energy with zero momentum. The analogous Euclidean correlation function is given by

$$C_{\mathbf{p},L}^{\text{E}}(\tau) = \sum_n c_n(\mathbf{p}, L) e^{-[E_n(L) - E_{\mathbf{p}}(L)]\tau}, \quad (\tau > 0). \quad (2)$$

The key observation here is that exactly the same overlap factors $c_n(\mathbf{p}, L)$ and energy differences $E_n(L) - E_{\mathbf{p}}(L)$ enter the two correlation functions, with only the functional distinction of oscillating vs. decay exponentials giving the difference. With this in mind, especially considering the significant investment in developing viable real-time computations, it is important to understand to what extent $C_{\mathbf{p},L}^{\text{M}}(t)$ gives a more useful prediction as compared to $C_{\mathbf{p},L}^{\text{E}}(\tau)$. In this work we argue that Euclidean-signature correlators may, in fact, be more useful in a certain range of kinematics. In addition we will show that $C_{\mathbf{p},L}^{\text{M}}(t)$ can easily be dominated by finite-volume effects unless specific strategies are employed to estimate and remove these.

In order to assess the possible advantage of future Minkowski computations, it is important to benchmark against current methods relying on Euclidean Monte Carlo sampling. The best-established approach is to numerically determine finite-volume energies $E_n(L)$ and matrix elements $c_n(\mathbf{p}, L)$ and, by making use of model-independent field-theoretic relations, to map these into physically observable scattering and decay amplitudes. This strategy was pioneered by Lüscher [16, 17] who derived a relation between finite-volume energies and the two-to-two scattering amplitude of identical scalar (or pseudo-scalar) particles. Since the energies can be extracted from the fall-off of Euclidean correlators, this provides a path towards scattering amplitudes from numerical lattice QCD.

These techniques have reached a high level of maturity. On the formal side, Lüscher's original work has since been generalized for any number of coupled two-particle channels, including non-identical and non-degenerate particles with any intrinsic spin [18–25]. This, in turn, has led to a wide class of phenomenologically interesting lattice QCD studies; see for example Refs. [26–37]. The ideas have further been generalized for the study of electroweak process involving matrix elements of local currents with two-hadron asymptotic states [23, 38–42] including two-to-two transitions mediated by an external current [43, 44] as well long-range matrix elements involving currents displaced in time [45, 46].

All such results are exact up to volume corrections that scale as e^{-mL} , with m the mass of the lightest degree of freedom, but are limited to energies lying below the lowest-lying threshold with three or more particles. Given the importance of this restriction, considerable effort has been invested recently in extending the framework to kinematics for which three-particle states can go on-shell [47–60]. See Refs. [61] and [62] for recent reviews on the status and implementation of the two- and three-particle finite-volume formalism, respectively.

Despite the overwhelming success of these techniques for low to moderate energies, the development and implementation of such approaches becomes increasingly challenging as the energy is increased into the regime where multiple

channels, especially those containing three or more particles, are open. As a result, it is useful to explore the possibility of extracting scattering amplitudes without using the finite-volume as a tool. One set of proposals in this direction involves estimating the inverse Laplace transform to recover a smeared version of either an inclusive total rate [63] or else a decay or scattering amplitude [64]. In these methods the extracted quantity is distorted by finite-volume effects as well as the smearing width, which, in the case of the scattering amplitude, can be understood as a non-infinitesimal $i\epsilon$ prescription.

The distinction in a direct Minkowski calculation is that $C_{\mathbf{p},L}^M(t)$ gives direct access to a finite-volume version of the rate or amplitude without the challenging inverse problem. Instead one Fourier transforms the time coordinate to a corresponding energy, using an $i\epsilon$ prescription to regulate the large time behavior of the integral. Denoting the result of this transformation by $\mathcal{T}_{\mathbf{p},L,\epsilon}(E)$, where E is the energy carried by either the incoming or outgoing current, one must next estimate the ordered double limit¹

$$\mathcal{T}(P^2, p \cdot P) = \lim_{\epsilon \rightarrow 0} \lim_{L \rightarrow \infty} \mathcal{T}_{\mathbf{p},L,\epsilon}(E), \quad (3)$$

where $P^2 = E^2$ and $p \cdot P = E\omega_{\mathbf{p}}$, with $\omega_{\mathbf{p}} = \sqrt{m^2 + \mathbf{p}^2}$. The notation is meant to emphasize that the physical Compton amplitude, \mathcal{T} , only depends on two Lorentz invariants.

In this work, we explore the practical challenges of relating $C_{\mathbf{p},L}^M(t)$ to $\mathcal{T}(P^2, p \cdot P)$. Though the motivation differs, the underlying problem has overlap with Ref. [64] in which the same ordered double limit, Eq. (3), was considered in a perturbative 3+1D theory. In this work we restrict attention to a 1+1D set-up as this is likely the first case to be studied by a quantum computer. In contrast to Ref. [64], we analyze $C_{\mathbf{p},L}^M(t)$ using the general finite-volume formalism of Ref. [46]. This allows one to predict the functional form of the finite-volume correlator, for a given set of infinite-volume amplitudes and matrix elements.

This article is organized as follows: In the next section we review the relevant properties of infinite-volume hadronic and Compton-like amplitudes in 1+1D. Then, in Sec. III, we summarize the formalism of Ref. [46] for predicting the finite-volume versions of these quantities. Section IV contains the central new results of this work, which can be summarized as follows:

1. In Sec. IV A we demonstrate that, even for very large volumes ($mL = 30$) and plausible choices of the infinite-volume inputs, cases arise in which it is impossible to identify a smearing width ϵ that gives a suitable estimate of the ordered double limit.
2. In Sec. IV B, we describe strategies for estimating the infinite-volume limit with external kinematics held fixed. This is based on binning methods and algorithms for identifying the nearest finite-volume momenta of a target Lorentz invariant point.

II. INFINITE-VOLUME AMPLITUDES IN 1+1D

In this section we review known properties of infinite-volume two-particle scattering amplitudes in 1+1D.

We begin with the properties of a two-body amplitude in the absence of external currents, in the energy regime $2m < E^* < 3m$, where E^* denotes the center of mass energy for the two-particle state. We denote the total energy and momentum in a general frame using the two-vector $P^\mu = (E, \mathbf{P})$, where the bold symbol is used for the spatial part, even though this is a single-component in our 1+1D set-up. The usual kinematic relations hold

$$E^{*2} = P^\mu P_\mu = E^2 - \mathbf{P}^2 = s, \quad (4)$$

where we have introduced the Mandelstam variable, s , in the final equality. The restriction to $2m < E^* < 3m$ implies an energy regime where only elastic scattering occurs.

In the second line of Fig. 1(a), we diagrammatically define the scattering amplitude, denoted by $\mathcal{M}(E^*)$, as a sum of ladder diagrams, built from fully-dressed propagators and Bethe-Salpeter (BS) kernels. The BS kernels are defined as the sum of all Feynman diagrams with four external legs that are two-particle irreducible with respect to internal propagator sets carrying the total energy, E^* . In other words, the BS kernels contain all diagrams that remain connected after any two lines, carrying the total energy, are cut. Combining this with all two-particle loops, as shown in Fig. 1(a), then leads to the proper inclusion of all diagrams.

¹ See also Ref. [65], in which twisted boundary conditions are used to extract the finite-volume optical potential. Estimating the same ordered double limit on this object then allows one to extract the scattering amplitude of a single specified channel in the inelastic regime.

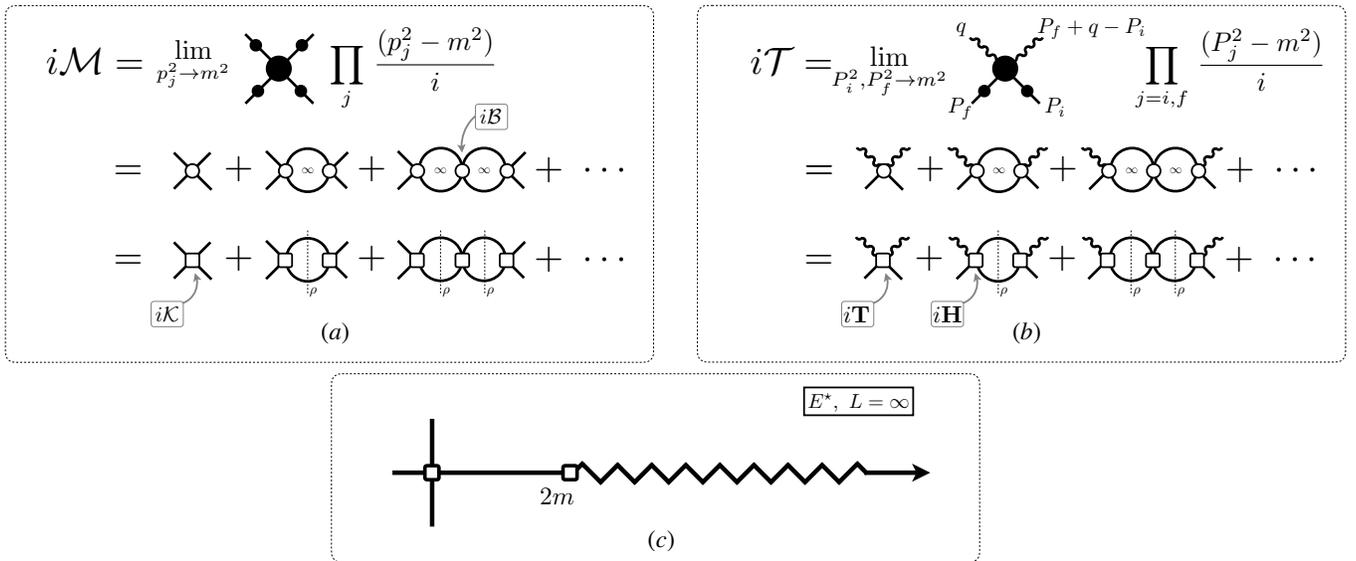


FIG. 1. Diagrammatic representations of (a) the hadronic scattering amplitude, denoted by \mathcal{M} , and (b) the Compton-like amplitude, denoted by \mathcal{T} . Panel (c) shows the analytic structure of both \mathcal{M} and \mathcal{T} on the first Riemann Sheet.

The utility of this expansion in the infinite-volume theory is that the BS kernels are real, meromorphic functions (analytic up to isolated poles) in a strip of the complex s plane defined by $(2m)^2 < \text{Re}[s] < (3m)^2$. Thus, in the elastic regime, the complex-valued form of $\mathcal{M}(E^*)$, as well as its non-analytic structure, arise only due to the two-particle loops shown explicitly. The diagrammatic expansion can be reduced by breaking each two-particle loop into a real-valued piece, defined via a principal-value pole prescription, together with the imaginary part. The latter leads to a phase-space factor, $\rho(E^*)$, such that the series can be re-organized to give [see the third line of Fig. 1(a)]

$$\mathcal{M}(E^*) = \sum_{n=0}^{\infty} \mathcal{K}(E^*) [i\rho(E^*)\mathcal{K}(E^*)]^n, \quad (5)$$

where we have introduced the K matrix, $\mathcal{K}(E^*)$, defined diagrammatically as the ladder-diagram series with principal-values in all two-particle loops. In the case of the 1+1D theory that we consider, $\mathcal{M}(E^*)$, $\mathcal{K}(E^*)$ and $\rho(E^*)$ are simple scalar functions. Summing the series leads to the compact result

$$\mathcal{M}(E^*) = \frac{1}{\mathcal{K}(E^*)^{-1} - i\rho(E^*)}. \quad (6)$$

This expression, together with the fact that $\mathcal{K}(E^*)$ is real for $2m < E^* < 3m$, is equivalent to the constraint imposed by the unitarity of the S matrix. To see this one combines the relation $S(E^*) = 1 + 2i\rho(E^*)\mathcal{M}(E^*)$ with $S(E^*)S(E^*)^\dagger = \mathbb{I}$ to deduce

$$\text{Im} \mathcal{M}(E^*) = \mathcal{M}^*(E^*) \rho(E^*) \mathcal{M}(E^*), \quad (7)$$

a constraining equation that is uniquely and generally solved by Eq. (6). In addition, unitarity in the single-channel sector requires $S(E^*) = e^{i2\delta(E^*)}$, for a real-valued scattering phase shift, $\delta(E^*)$. This, in turn, implies the standard relation between scattering phase and K matrix:

$$\mathcal{K}(E^*)^{-1} = \rho(E^*) \cot \delta(E^*). \quad (8)$$

We stress that, while unitarity provides a more general, non-perturbative (indeed field-theory independent) basis for Eq. (6), the diagrammatic perspective is useful for two reasons. First, it leads to a simple expression for $\rho(E^*)$ as the imaginary part of the two-particle loop. As we show in Appendix A, for a 1+1D relativistic scalar theory the result is

$$\rho(E^*) = \frac{1}{8E^*q^*}, \quad (9)$$

where $q^* \equiv \sqrt{E^{*2}/4 - m^2}$, is the magnitude of a single particle's momentum in the center-of-mass (CM) frame. We comment that this is the source of the branch cut singularity depicted in Fig. 1(c). Second, the diagrammatic perspective gives the extra constraint that $\mathcal{K}(E^*)$ is not only real but in fact meromorphic in a strip about the real axis, in the regime of elastic scattering. This provides an important guide in parameterizing the scattering amplitude, for example by using the effective-range expansion.

We now turn our attention to Compton-like amplitudes, restricting our attention here to matrix elements of two scalar currents, denoted by $\mathcal{J}(x)$ and $\mathcal{J}'(x)$, between two single-particle external states

$$\mathcal{T}(s, Q^2, Q_{if}^2) \equiv i \int d^2x e^{i\omega t - i\mathbf{q}\cdot\mathbf{x}} \langle \mathbf{p}_f | \mathbf{T} \{ \mathcal{J}(x) \mathcal{J}'(0) \} | \mathbf{p}_i \rangle_c, \quad (10)$$

where \mathbf{T} indicates time ordering, $q = (\omega, \mathbf{q})$, $s = (p_f + q)^2$, $Q^2 = -q^2$, $Q_{if}^2 = -(p_f + q - p_i)^2$ and the subscript ‘‘c’’ means that only connected contributions are included in the definition of \mathcal{T} . One can readily generalize these amplitudes by invoking any type of external states and local currents, including a generic Lorentz structure for the latter. For fully general expressions that follow the notation used here we point the reader to Ref. [46], from which we borrow many key ideas. The importance of Compton-like amplitudes are discussed, for example, in Refs. [66–68].

As discussed in Ref. [46], $\mathcal{T}(s, Q^2, Q_{if}^2)$ admits unitarity constraints that are closely related to those for $\mathcal{M}(s)$, summarized in Eqs. (6) and (7). To derive these it is again useful to introduce a diagrammatic representation [see Fig. 1(b)], built from fully-dressed propagators and BS kernels as well as new objects that do not arise in the decomposition of $\mathcal{M}(s)$. Specifically, three analogs of the BS kernel arise in which either (i) one incoming, or (ii) one outgoing, or else (iii) one of each, is replaced by one of the two external currents. Following the same steps as with $\mathcal{M}(s)$ then leads to the result

$$\mathcal{T}(E^*, Q^2, Q_{if}^2) = \mathbf{T}(E^*, Q^2, Q_{if}^2) + \mathbf{H}(E^*, Q^2) \frac{i}{1 - i\rho(E^*)\mathcal{K}(E^*)} \rho(E^*) \mathbf{H}'(E^*, Q_{if}^2), \quad (11)$$

which is the Compton amplitude generalization of Eq. (6). Here \mathbf{T} , \mathbf{H} and \mathbf{H}' are modifications of the \mathbf{K} matrix that appear due to the three new kernels [see Fig. 1(b)]. They match $\mathcal{K}(E^*)$ in their diagrammatic definitions, with the difference of external legs as shown in the last line of Fig. 1(b). We point the reader to Ref. [46] for the detailed derivation of this result. To give a precise definition of \mathbf{H} and \mathbf{H}' it is useful to introduce another type of physical amplitude, the $1 + \mathcal{J} \rightarrow 2$ transition amplitude, denoted generically by \mathcal{H} . Then the following relations serve to define the bold quantities:

$$\mathcal{H}(E^*, Q^2) = \mathbf{H}(E^*, Q^2) \frac{1}{1 - i\rho(E^*)\mathcal{K}(E^*)}, \quad \mathcal{H}'(E^*, Q^2) = \frac{1}{1 - i\mathcal{K}(E^*)\rho(E^*)} \mathbf{H}'(E^*, Q^2). \quad (12)$$

Equation (11) is better understood by observing that, if the currents $\mathcal{J}(x)$ and $\mathcal{J}'(x)$ and the virtualities Q^2 and Q_{if}^2 can be chosen such that $\mathbf{T}, \mathbf{H}, \mathbf{H}' \rightarrow \mathcal{K}(E^*)$, then we recover

$$\mathcal{T}(E^*, Q^2, Q_{if}^2) \implies \mathcal{K}(E^*) + \mathcal{K}(E^*) \frac{i}{1 - i\rho(E^*)\mathcal{K}(E^*)} \rho(E^*) \mathcal{K}(E^*) = \mathcal{M}(E^*). \quad (13)$$

In fact, as we now show, this operator choice can be realized in practice, such that the hadronic amplitude can be recovered from $\mathcal{T}(E^*, Q^2, Q_{if}^2)$. The key relation, which follows directly from the LSZ reduction formula, reads

$$\mathcal{M}(E^*) = \lim_{Q^2, Q_{if}^2 \rightarrow -m^2} \frac{(Q^2 + m^2)(Q_{if}^2 + m^2)}{\langle 0 | \mathcal{J}(0) | q \rangle \langle \mathbf{p}_f + q - \mathbf{p}_i | \mathcal{J}'(0) | 0 \rangle} \mathcal{T}(E^*, Q^2, Q_{if}^2), \quad (14)$$

where we have assumed that the currents $\mathcal{J}(0)$ and $\mathcal{J}'(0)$ overlap the single-particle states

We close this section with a final technical detail, discussed more thoroughly in Ref. [46], that will be particularly important for our numerical studies in Sec. IV. The issue is associated with poles that can arise in the \mathbf{K} matrix, $\mathcal{K}(E^*)$. These occur in many physically realized systems, for example those with a narrow or Breit-Wigner-like resonance. In such cases, one can show from Eq. (12), and also from a diagrammatic analysis, that \mathbf{H} and \mathbf{H}' develop poles as well. Thus, in order to prevent unphysical poles from arising in the amplitude $\mathcal{T}(E^*, Q^2, Q_{if}^2)$ one must require \mathbf{T} to take on the form

$$\mathbf{T}(E^*, Q^2, Q_{if}^2) = \mathbf{H}(E^*, Q^2) \frac{1}{\mathcal{K}(E^*)} \mathbf{H}'(E^*, Q_{if}^2) + \mathbf{S}(E^*, Q^2, Q_{if}^2), \quad (15)$$

where \mathbf{S} is a smooth function.

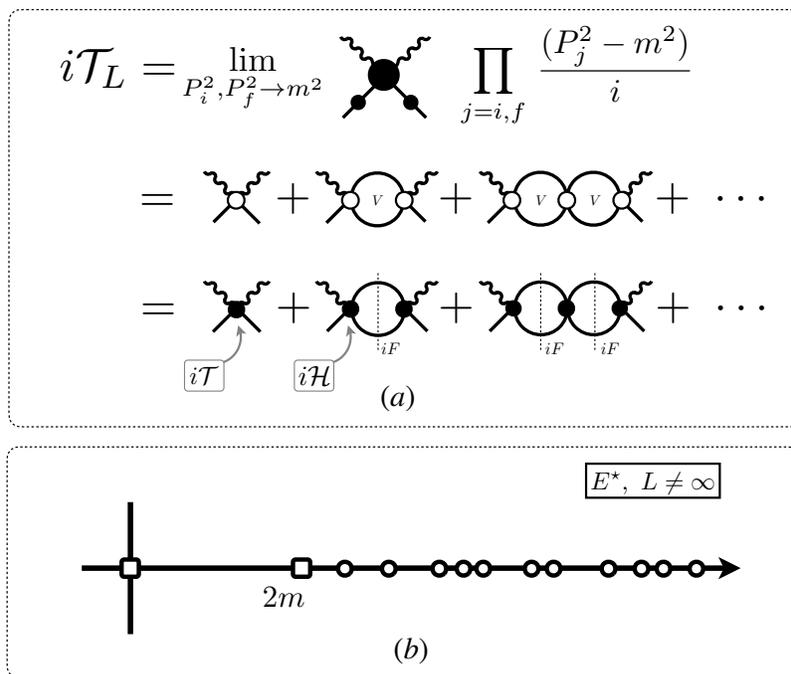


FIG. 2. (a) Diagrammatic representation of the finite-volume Compton amplitude, denoted by \mathcal{T}_L . Panel (b) shows the corresponding analytic structure, to be compared with Fig. 1(c).

III. FINITE-VOLUME AMPLITUDES IN 1+1D

Having established expressions for $\mathcal{T}(E^*, Q^2, Q_{if}^2)$ that automatically satisfy unitarity, we now turn to analogous results for the finite-volume quantity, \mathcal{T}_L , defined as

$$\mathcal{T}_L(p_f, q, p_i) \equiv i \int dx^0 \int_0^L dx^1 e^{i\omega x^0 - \epsilon|x^0| - i\mathbf{q}\cdot\mathbf{x}} \langle \mathbf{p}_f | \mathcal{T}\{\mathcal{J}(x)\mathcal{J}'(0)\} | \mathbf{p}_i \rangle_{c,L}. \quad (16)$$

Here, we treat the direction parametrized by x_0 to have infinite extent and to be continuous. In practice, one can only evolve with a finite number of steps in this direction, before the coherence of the calculation is lost. This presents an additional set of challenges: In particular the damping parameter, ϵ , must be taken small enough to estimate the extrapolation $\epsilon \rightarrow 0$ but large enough that the integral can be estimated reliably.

Turning to the hadronic scattering amplitude, we begin by defining

$$\mathcal{M}_{L,[\mathcal{J}]}(p_f, q, p_i) = \frac{(Q^2 + m^2)(Q_{if}^2 + m^2)}{\langle 0 | \mathcal{J}(0) | \mathbf{q} \rangle_L \langle \mathbf{p}_f + \mathbf{q} - \mathbf{p}_i | \mathcal{J}'(0) | 0 \rangle_L} \mathcal{T}_L(p_f, q, p_i), \quad (17)$$

as a rough analog of Eq. (14). Here we have not included the on-shell limit $\{Q^2, Q_{if}^2\} \rightarrow -m^2$ as it is instructive to also consider off-shell values in understanding the extraction of the physical observable. We have also used the infinite-volume mass in the amputation. As we discuss below, using a finite-volume mass instead offers no clear advantage.

To gain better intuition for this, it is instructive to consider $\mathcal{M}_{L,[\mathcal{J}]}$ in the case of identical hermitian currents $\mathcal{J}(0) = \mathcal{J}'(0)$ and identical states $\mathbf{p}_f = \mathbf{p}_i = \mathbf{p}$. Performing a spectral decomposition then yields

$$\mathcal{M}_{L,[\mathcal{J}]}(p, q, p) = \frac{(Q^2 + m^2)^2}{|\langle 0 | \mathcal{J}(0) | \mathbf{q} \rangle_L|^2} \sum_n \frac{|\langle \mathbf{p} | \mathcal{J}(0) | \mathbf{p} + \mathbf{q}, n \rangle_L|^2}{(\omega - E_n(L) + \omega_{\mathbf{p}} + i\epsilon)(\omega + E_n(L) - \omega_{\mathbf{p}} - i\epsilon)} - \dots, \quad (18)$$

where $|\mathbf{p} + \mathbf{q}, n\rangle_L$ is the n th finite-volume excited state with the indicated momentum and $E_n(L)$ is its corresponding energy. Here the ellipsis indicates disconnected contributions. These must be treated separately when inserting a complete set of states, but they play no role in the discussion here.

In the $L \rightarrow \infty$ limit, the sum over discrete states goes over to an integral and the set $\{|\mathbf{p} + \mathbf{q}, n\rangle_L\}$ goes over to a continuum of multi-particle states (either in or out states, both choices are viable). Then the corresponding matrix elements are labeled not only by total four-momentum, but also the momentum of the incoming particles, e.g. $\langle \mathbf{p} | \mathcal{J}(0) | q + p, \mathbf{p}', \text{in} \rangle$ for a two-particle state. Such matrix elements contain a disconnected term, proportional to $\delta^3(\mathbf{p} - \mathbf{p}')$, which, in the integral over individual particle momenta, generates the factors of $\langle 0 | \mathcal{J}(0) | \mathbf{q} \rangle / (Q^2 + m^2)$. The amputation of these then yields the infinite-volume hadronic amplitude, $\mathcal{M}(E^*)$.

By contrast, at finite L , $\mathcal{M}_{L, [\mathcal{J}]}$ exhibits a discrete set of poles at $\omega = \pm [E_n(L) - \omega_{\mathbf{p}} - i\epsilon]$, none of which directly correspond to the $\sim 1/(Q^2 + m^2)$ factors we are after. As a result

$$\lim_{Q^2 \rightarrow -m^2} \mathcal{M}_{L, [\mathcal{J}]}(p, q, p) = 0, \quad (19)$$

so that the naive on-shell limit offers no useful information about the target amplitude. The same result holds for the finite-volume mass, provided the amputation factor is adjusted as well. Indeed since the finite-volume quantity only has simple poles, the $[Q^2 + m^2]^2$ amputation will always lead to a vanishing on-shell limit, unless one defines the limit such that the amputating factor remains non-zero. As explained in Ref. [64], this observation fits naturally with the fact that we send $L \rightarrow \infty$ before $\epsilon \rightarrow 0$; the non-zero epsilon provides a non-zero amputation factor. A related issue is that the matrix element, $\langle \mathbf{p} | \mathcal{J}(0) | \mathbf{p} + \mathbf{q}, n \rangle_L$, does not factorize into a vacuum-to-single-particle component at finite- L , so the cancellation of $\mathcal{J}(0)$ -dependence in Eq. (18) is obscured.

Despite these complications, for arbitrarily large L a correspondence to the infinite-volume quantities must be recovered. As we now describe, this can be understood in detail using the finite-volume formalism presented in Ref. [46]. To explain this, we focus first on the finite-volume Compton analog, $\mathcal{T}_L(p_f, q, p_i)$, for which $\mathcal{J}(0)$ -dependence is a natural feature of the amplitude's definition. An analytic expression for the L dependence of this quantity was derived in [46], for a generic 3+1D scalar field theory. The simplification of this to 1+1D only requires modifying the definition of a finite-volume geometric function, denoted F , as we explain below. We can thus take over the main result directly

$$\mathcal{T}_L(p_f, q, p_i) = \mathcal{T}(E^*, Q^2, Q_{if}^2) - \mathcal{H}(E^*, Q^2) \frac{1}{F^{-1}(E^*, \mathbf{P}, L) + \mathcal{M}(E^*)} \mathcal{H}'(E^*, Q_{if}^2). \quad (20)$$

This is the most important result of this section and will serve as our master formula for the numerical analysis presented in Sec. IV. The result is exact up to terms scaling as e^{-mL} and holds for any relativistic quantum field theory. All infinite-volume amplitudes appearing here are defined in the previous section, and the only new object is $F(E, \mathbf{P}, L)$.

A diagrammatic representation of \mathcal{T}_L is shown in Fig. 2(a). As indicated by the figure, \mathcal{T}_L is defined via the same expansion as its infinite-volume analog, \mathcal{T} , but with all internal loops summed, rather than integrated, over the spatial momenta consistent with the finite-volume boundary conditions. For a periodic volume, the allowed set is given by $\mathbf{k} = 2\pi\mathbf{n}/L$ with $\mathbf{n} \in \mathbb{Z}$ an integer. The new quantity, $F(E, \mathbf{P}, L)$, is a geometric function that contains a sum-integral difference, encoding the distinction between finite- and infinite-volume amplitudes. The explicit expression is

$$F(E, \mathbf{P}, L) = \lim_{\epsilon \rightarrow 0^+} \frac{1}{2} \left[\frac{1}{L} \sum_{\mathbf{k}} - \int \frac{d\mathbf{k}}{2\pi} \right] \frac{1}{2\omega_{\mathbf{k}}} \frac{1}{(P - k)^2 - m^2 + i\epsilon}, \quad (21)$$

$$= i\rho(E^*) + \frac{\rho(E^*)}{2} \left[\cot \left(\frac{L\gamma(q^* + \omega_q^* \beta)}{2} \right) + \cot \left(\frac{L\gamma(q^* - \omega_q^* \beta)}{2} \right) \right] + \mathcal{O}(e^{-mL}), \quad (22)$$

where $\omega_q^* = \sqrt{q^{*2} + m^2} = E^*/2$, $\gamma = E/E^*$ and $\beta = \mathbf{P}/E$. The second line here is derived in Appendix A. In the following equations we will drop the neglected $\mathcal{O}(e^{-mL})$ corrections.

As discussed at the beginning of this section, \mathcal{T}_L has poles on the real axis, depicted in Fig. 2(b). The poles correspond to the finite-volume energy levels and therefore provide the 1+1D analog of the Lüscher quantization condition

$$\mathcal{M}(E^*)^{-1} + F(E, \mathbf{P}, L) = 0. \quad (23)$$

Combining Eqs. (6), (8), and (22), we can rewrite this condition as

$$\cot \delta(E^*) + \frac{1}{2} \left[\cot \left(\frac{L\gamma(q^* + \omega_q^* \beta)}{2} \right) + \cot \left(\frac{L\gamma(q^* - \omega_q^* \beta)}{2} \right) \right] = 0. \quad (24)$$

For the special case of $\mathbf{P} = 0$ we recover the well-known result, that finite-volume energies satisfy [69–72]

$$q_n^*(L)L = -2\delta(E_n^*(L)) + 2\pi n, \quad E_n^*(L) = 2\sqrt{m^2 + q_n^*(L)^2}, \quad E_n(L) = \sqrt{E_n^*(L)^2 + \mathbf{P}^2}. \quad (25)$$

As written, however, Eq. (20) does not encode the non-infinitesimal ϵ dependence appearing in the definition of \mathcal{T}_L , Eq. (16). The ϵ dependence can be incorporated, to good approximation, by replacing E with $E + i\epsilon$ in all kinematic expressions, while keeping \mathbf{P} independent of this parameter. The only subtlety here is that, as can be seen in Eq. (18), both $E + i\epsilon$ and $E - i\epsilon$ enter the original definition of \mathcal{T}_L . However, in the decomposition leading to (20) only the former combination ($E + i\epsilon$) enters in the power-like finite-volume effects that we keep. The anti-particle prescription ($E - i\epsilon$) is absorbed into the infinite-volume quantities. Thus setting $E \rightarrow E + i\epsilon$ everywhere amounts to neglecting terms scaling as ϵ/μ , where μ is the smallest scale entering the infinite-volume amplitudes.

To summarize the results so far, our master equation, Eq. (20), gives an expression for the L dependence of \mathcal{T}_L , in terms of expressions for the infinite-volume amplitudes \mathcal{T} , \mathcal{H} , \mathcal{H}' and \mathcal{M} . This provides a tool to explore optimal numerical strategies for approaching the physical amplitude in future Minkowski-signature calculations, especially for non-perturbative systems. These numerical explorations are the focus on the next section. Before turning to this, we consider a handful of formal results that follow directly from Eq. (20).

As discussed above, and also in Refs. [50, 63, 64, 73] only the ordered double limit, $L \rightarrow \infty$ followed by $\epsilon \rightarrow 0$, is expected to recover the physical amplitude. Our general expression, Eq. (20), reproduces this fact trivially via

$$\begin{aligned} \lim_{\epsilon \rightarrow 0} \lim_{L \rightarrow \infty} F(E + i\epsilon, \mathbf{P}, L) &= \lim_{\epsilon \rightarrow 0} \lim_{L \rightarrow \infty} \frac{1}{2} \left[\frac{1}{L} \sum_k - \int \frac{dk}{2\pi} \right] \frac{1}{2\omega_k (E - \omega_k + i\epsilon)^2 - (\mathbf{P} - \mathbf{k})^2 - m^2}, \\ &= \lim_{\epsilon \rightarrow 0} \frac{1}{2} \left[\int \frac{dk}{2\pi} - \int \frac{dk}{2\pi} \right] \frac{1}{2\omega_k (E - \omega_k + i\epsilon)^2 - (\mathbf{P} - \mathbf{k})^2 - m^2}, \\ &= 0. \end{aligned} \tag{26}$$

Applying this to Eq. (20) then directly implies

$$\lim_{\epsilon \rightarrow 0} \lim_{L \rightarrow \infty} \mathcal{T}_L(p_f, q, p_i) = \mathcal{T}(E^*, Q^2, Q_{if}^2). \tag{27}$$

Note also that, although the finite-volume amplitude depends on six variables, via the three two-component vectors (p_f, q, p_i) , its infinite-volume counterpart only depends on three Lorentz scalars, $E^* = \sqrt{P^2}$, Q^2 , and Q_{if}^2 . We make use of this observation in Sec. IV.

To understand the approach of the $L \rightarrow \infty$ limit it is also instructive to note that, if ϵ and L are chosen such that $\mathcal{M}(E^*)F(E + i\epsilon, \mathbf{P}, L) \ll 1$, then we can expand Eq. (20) as

$$\mathcal{T}_L(p_f, q, p_i) = \mathcal{T}(E^*, Q^2, Q_{if}^2) - \mathcal{H}'(E^*, Q^2) F(E + i\epsilon, \mathbf{P}, L) \mathcal{H}(E^*, Q_{if}^2) + \mathcal{O}(F^2). \tag{28}$$

This will motivate one of the strategies we consider in the next section in which we develop sets of kinematics that leave $\mathcal{T}(E^*, Q^2, Q_{if}^2)$ invariant and show that averaging over these suppresses $F(E + i\epsilon, \mathbf{P}, L)$ and therefore improves the infinite-volume extrapolation.

To close this section we return to the hadronic amplitude, $\mathcal{M}(E^*)$, and the finite-volume quantity defined in Eq. (17). Combining this with our master equation (20) we reach

$$\mathcal{M}_{L, [\mathcal{J}]}(p_f, q, p_i) = \mathcal{M}_{[\mathcal{J}]}(E^*, Q^2, Q_{if}^2) - \mathcal{M}_{[\mathcal{J}]}(E^*, Q^2) \frac{1}{F^{-1}(E^*, \mathbf{P}, L) + \mathcal{M}(E^*)} \mathcal{M}'_{[\mathcal{J}]}(E^*, Q_{if}^2), \tag{29}$$

where

$$\mathcal{M}_{[\mathcal{J}]}(E^*, Q^2, Q_{if}^2) \equiv \frac{(Q^2 + m^2)(Q_{if}^2 + m^2)}{\langle 0 | \mathcal{J}(0) | \mathbf{q} \rangle \langle \mathbf{p}_f + \mathbf{q} - \mathbf{p}_i | \mathcal{J}'(0) | 0 \rangle} \mathcal{T}(E^*, Q^2, Q_{if}^2), \tag{30}$$

$$\mathcal{M}_{[\mathcal{J}]}(E^*, Q^2) \equiv \frac{(Q^2 + m^2)}{\langle 0 | \mathcal{J}(0) | \mathbf{q} \rangle} \mathcal{H}(E^*, Q^2), \tag{31}$$

$$\mathcal{M}'_{[\mathcal{J}]}(E^*, Q_{if}^2) \equiv \frac{(Q_{if}^2 + m^2)}{\langle \mathbf{p}_f + \mathbf{q} - \mathbf{p}_i | \mathcal{J}'(0) | 0 \rangle} \mathcal{H}'(E^*, Q_{if}^2). \tag{32}$$

The notation here emphasizes that the quantities in Eqs. (30)-(32) depend on at least one virtuality, $\{Q^2, Q_{if}^2\}$, as well as the details of the currents $\mathcal{J}(0)$, $\mathcal{J}'(0)$. In the on-shell limit however, this dependence is removed and each object corresponds with the on-shell hadronic amplitude, e.g.

$$\lim_{Q^2, Q_{if}^2 \rightarrow -m^2} \mathcal{M}_{[\mathcal{J}]}(E^*, Q^2, Q_{if}^2) = \mathcal{M}(E^*). \tag{33}$$

This, together with Eq. (29), then implies

$$\mathcal{M}_{L,[\mathcal{J}]}(p_f, q, p_i) = \mathcal{M}_L(E, \mathbf{P}) + \mathcal{O}[(Q^2 + m^2), (Q_{if}^2 + m^2)], \quad (34)$$

where we have introduced

$$\mathcal{M}_L(E, \mathbf{P}) \equiv \frac{1}{\mathcal{M}(E^*)^{-1} + F(E, \mathbf{P}, L)}. \quad (35)$$

The function $\mathcal{M}_L(E, \mathbf{P})$ arises often in the context of finite-volume quantization conditions, see for example Refs. [49, 50, 55, 57, 59].

A slightly confusing point is the consistency of these equations with Eq. (19) above, i.e. the observation that $\mathcal{M}_{L,[\mathcal{J}]}(p_f, q, p_i)$ vanishes in the on-shell limit. In particular, in the forward case ($\mathbf{p}_f = \mathbf{p}_i$) the on-shell condition is achieved by setting $\omega = \omega_{\mathbf{q}}$, equivalently setting $E = \omega_{\mathbf{p}} + \omega_{\mathbf{q}}$. Note however that

$$\lim_{E \rightarrow \omega_{\mathbf{p}} + \omega_{\mathbf{q}}} F(E, \mathbf{P}, L) = \infty, \quad (36)$$

as can be easily seen from Eq. (22), and thus

$$\lim_{E \rightarrow \omega_{\mathbf{p}} + \omega_{\mathbf{q}}} \mathcal{M}_L(E, \mathbf{P}) = 0. \quad (37)$$

Of course, if $\mathcal{M}_L(E, \mathbf{P})$ and $\mathcal{M}_{L,[\mathcal{J}]}(p_f, q, p_i)$ only coincide for kinematics where they are also identically zero, one might question how any of these expressions can be useful. Again the resolution is the $i\epsilon$ prescription. Repeating the steps above with nonzero $i\epsilon$ gives

$$\mathcal{M}_{L,[\mathcal{J}]}(p_f, q, p_i) \Big|_{E \rightarrow E + i\epsilon} = \mathcal{M}_L(E + i\epsilon, \mathbf{P}) + \mathcal{O}[(Q^2 + m^2), (Q_{if}^2 + m^2)], \quad (38)$$

where in the final term $q = (\omega + i\epsilon, \mathbf{q})$.

[[Question: This is using the claim that \mathbf{S} does not have a double Q^2 pole. Do we know this for sure?

Question: Do we have \mathcal{J} dependence for the on-shell finite-volume points, or not?]]

IV. ORDERED DOUBLE LIMIT: CHALLENGES AND STRATEGIES

In this section, we discuss strategies for numerically recovering the infinite-volume amplitudes, $\mathcal{M}(E^*)$ and $\mathcal{T}(E^*, Q^2, Q_{if}^2)$, from their finite-volume counterparts. To do so, we require plausible functional forms for the infinite-volume quantities entering our master equation, Eq. (20). As described in the previous two sections, $\mathcal{T}_L(p_f, q, p_i)$ is ultimately given by four real functions, $\mathcal{K}(E^*)$, $\mathbf{H}(E^*, Q^2)$, $\mathbf{H}'(E^*, Q^2)$ and $\mathbf{S}(E^*, Q^2, Q_{if}^2)$. Beginning with the K matrix, we write

$$\mathcal{K}(E^*) = \frac{g^{*2}}{m^2} \left(\frac{g^2}{m_R^2 - E^{*2}} + h(E^{*2}) \right), \quad (39)$$

where g is a dimensionless coupling (such that $\mathcal{K}(E^*)$ has dimensions of $1/m^2$), m_R is an independent parameter with units of energy, and $h(E^{*2})$ is a polynomial in E^{*2} (also with dimension $1/m^2$).

Two basic assumptions motivate this parametrization of $\mathcal{K}(E^*)$. First, we require that the system has no threshold singularities. This leads to the overall factor of q^{*2} which regulates the near threshold behavior of the 1+1D amplitude. Second, we assume that left-hand cuts, inelastic thresholds, and other analytic structures within $\mathcal{K}(E^*)$ are sufficiently removed from the energy region sampled, such that their effects can be well described by the given form. The free parameters entering Eq. (39) afford a great deal of freedom in the systems that can be described. One can choose the parameters to describe weakly or strongly interacting systems, including systems with a broad or narrow resonance, as well as a bound state.

For \mathbf{H} , \mathbf{H}' and \mathbf{S} , we use minimal expressions that satisfy the criteria discussed in Sec. II,

$$\mathbf{H}(E^*, Q^2) = \mathbf{H}'(E^*, Q^2) = \frac{\mathcal{K}(E^*)}{1 + Q^2/M^2}, \quad \mathbf{S}(E^*, Q^2, Q_{if}^2) = 0. \quad (40)$$

Here we have enforced that $\mathbf{H}(E^*, Q^2)$ must have the same poles as $\mathcal{K}(E^*)$. We have additionally introduced a time-like pole in the Q^2 dependence of $\mathbf{H}(E^*, Q^2)$ and $\mathbf{H}'(E^*, Q^2)$ to mimic the known behavior of certain form factors.

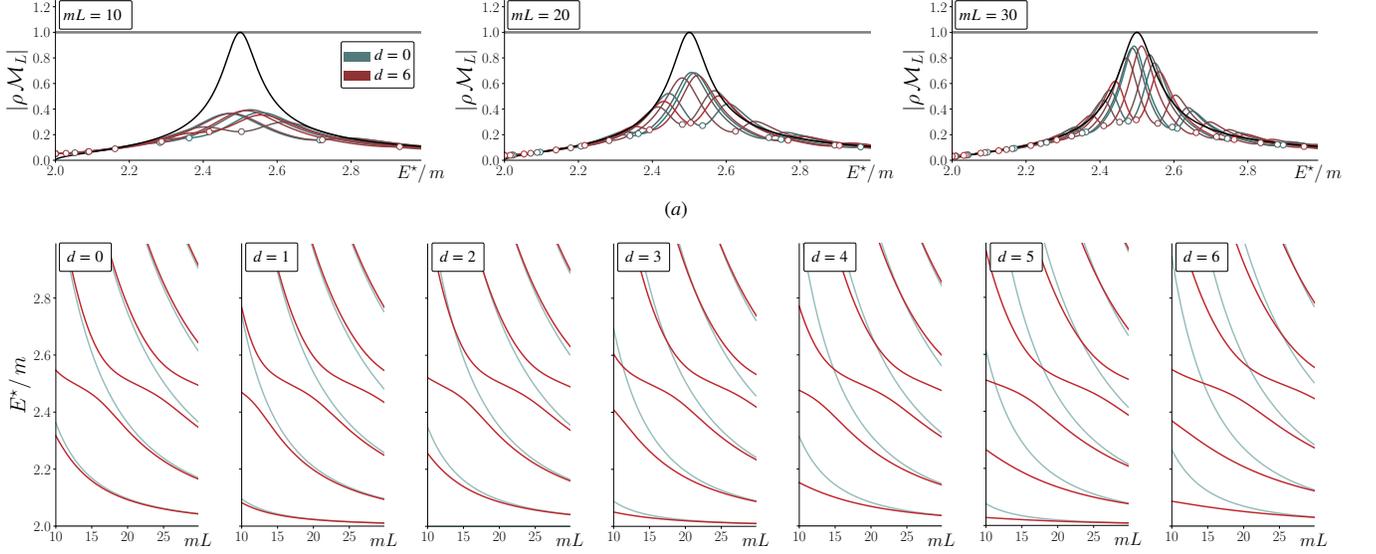


FIG. 3. (a) Finite- and infinite-volume amplitudes, evaluated using $m_R = 2.5m$, $g = 2.5$, $h(E^{*2}) = 0$ as described in the text. For the finite-volume amplitudes, defined in Eq. (35), we consider seven different momenta ($\mathbf{d} = 0, 1, \dots, 6$, with $\mathbf{P} = 2\pi\mathbf{d}/L$). We set $\epsilon = 1/L$ in each panel. (b) Finite-volume spectrum for the non-interacting system (light-blue) and interacting system (red).

Before turning to the numerical studies, we revisit Eq. (17) in which an estimator for the hadronic amplitude (denoted $\mathcal{M}_{L, [\mathcal{J}]}$) is constructed from \mathcal{T}_L . Setting $p_f = p_i = p$, restricting attention to $\mathbf{S} = 0$, and rearranging the result to express $\mathcal{M}_{L, [\mathcal{J}]}$ directly in terms of \mathbf{H} and \mathcal{K} , we reach

$$\mathcal{M}_{L, [\mathcal{J}]}(p, q, p) = \frac{(Q^2 + m^2)^2 \mathbf{H}(E^*, Q^2)^2}{|\langle 0 | \mathcal{J}(0) | \mathbf{q} \rangle_L|^2} \left[\frac{1}{\mathcal{K}(E^*)} - \frac{1}{1 + F_{\text{pv}}(E, \mathbf{P}, L) \mathcal{K}(E^*)} F_{\text{pv}}(E, \mathbf{P}, L) \right], \quad (41)$$

where we have defined $F_{\text{pv}}(E, \mathbf{P}, L) \equiv F(E, \mathbf{P}, L) - i\rho(E^*)$ and followed standard algebraic manipulations to remove \mathcal{H} and \mathcal{M} in favor of \mathbf{H} and \mathcal{K} .

In the case where \mathcal{J} has the quantum numbers of the single-hadron interpolator as required for $\mathcal{M}_{L, [\mathcal{J}]}$, then $\mathbf{H}(E^*, Q^2)$ must contain a pole at $Q^2 = m^2$. This can easily be encoded in our more general parametrization by setting $M = m$. Additionally using the fact that the current must satisfy $|\langle 0 | \mathcal{J}(0) | \mathbf{q} \rangle_L|^2 = m^4$, we finally reach²

$$\mathcal{M}_{L, [\mathcal{J}]}(p, q, p) = \mathcal{K}(E^*)^2 \left[\frac{1}{\mathcal{K}(E^*)} - \frac{1}{1 + F_{\text{pv}}(E, \mathbf{P}, L) \mathcal{K}(E^*)} F_{\text{pv}}(E, \mathbf{P}, L) \right], \quad (42)$$

$$= \frac{1}{\mathcal{K}(E^*)^{-1} + F_{\text{pv}}(E, \mathbf{P}, L)}, \quad (43)$$

$$= \frac{1}{\mathcal{M}(E^*)^{-1} + F(E, \mathbf{P}, L)}, \quad (44)$$

$$= \mathcal{M}_L(E, \mathbf{P}). \quad (45)$$

This result closely resembles Eq. (34) but with out the $Q^2 + m^2$ corrections. The interpretation is that, for our specific model of \mathbf{H}, \mathbf{H}' , and for $\mathbf{S} = 0$, the higher corrections vanish. Though unrealistic in a practical calculation, this set-up provides a useful starting point in our analysis of finite-volume contaminations.

² To see why $|\langle 0 | \mathcal{J}(0) | \mathbf{q} \rangle_L|^2 = m^4$, note first that the single-particle matrix element has only exponentially-suppressed finite-volume effects, neglected throughout, and must thus equal some combination of physical parameters defining the infinite-volume theory. In addition, the LSZ reduction formula demands that $\mathcal{M}_{L, [\mathcal{J}]}$ will become the physical scattering amplitude in the ordered double limit. These constraints are enough to give the claimed result.

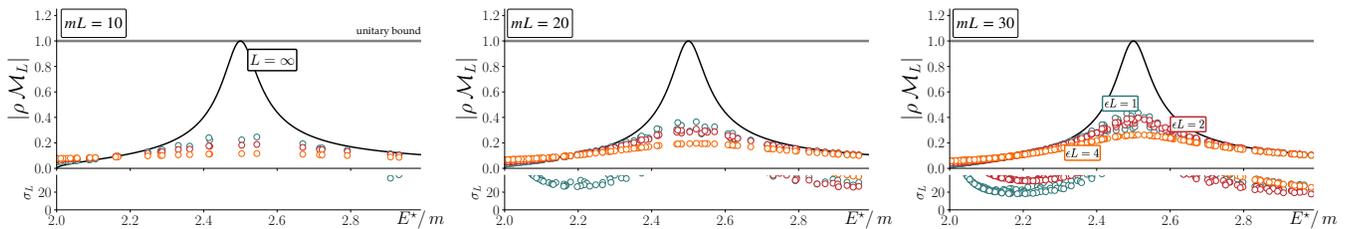


FIG. 4. As in Fig. 3(a), but here we only show the points for which $Q^2 + m^2 = 0$, as described in the text. The small lower plots in each panel show σ_L , defined in Eq. (48), which measures the percent deviation from the physical scattering amplitude.

A. Hadronic amplitude: Basic estimators

We begin by numerically exploring the convergence of $\mathcal{M}_L(E + i\epsilon, \mathbf{P})$ towards the infinite-volume hadronic amplitude, $\mathcal{M}(E^*)$. We focus on the case of a resonance, with parameters $m_R = 2.5m$ and $g = 2.5$, and with $h(E^{*2}) = 0$. With this parametrization, in Fig. 3(a) we compare $\mathcal{M}_L(E + i\epsilon, \mathbf{P})$ and $\mathcal{M}(E^*)$, both plotted versus E^* , for various fixed values of L , ϵ and \mathbf{P} . Specifically we plot the combinations $|\rho(E^*)\mathcal{M}_L(E + i\epsilon, \mathbf{P})|$ and $|\rho(E^*)\mathcal{M}(E^*)|$, which make the unitarity bound particularly transparent

$$|\rho(E^*)\mathcal{M}(E^*)| = \left| \frac{1}{\cot \delta(E^*) - i} \right| \leq 1. \quad (46)$$

The three panels correspond to three spatial volumes, each displaying seven curves corresponding to spatial momenta ranging from $\mathbf{d} = 0$ to $\mathbf{d} = 6$, with $\mathbf{P} = 2\pi\mathbf{d}/L$. The circles in Fig. 3(a) indicate the finite-volume energies for which $Q^2 + m^2 = 0$ can be achieved. These are precisely the energies for which one can choose \mathbf{q} and \mathbf{p} to achieve $\mathcal{M}_{L, [\mathcal{J}]} = \mathcal{M}_L$ exactly, for any operator $\mathcal{J}(0)$.

The energies for which this matching is possible are also the non-interacting levels of the system, given by

$$E(L) = \sqrt{m^2 + (2\pi/L)^2 \mathbf{n}^2} + \sqrt{m^2 + (2\pi/L)^2 (\mathbf{n} - \mathbf{d})^2}, \quad (47)$$

and represented in Fig. 3(b) as the blue curves. The functional form of $\mathcal{M}_L(E + i\epsilon, \mathbf{P})$, by contrast, is dictated by the interacting spectrum, obtained using Eq. (24) and plotted in Fig. 3(b) as the red curves.

In Fig. 4, we show $\mathcal{M}_L(E + i\epsilon, \mathbf{P})$ for the on-shell points, taking all \mathbf{P} values together but considering three possible values of $\epsilon L = 4, 2, 1$. From this figure it is quite evident that the convergence to the infinite-volume amplitude is slow. To quantify the deviation, we introduce

$$\sigma_L(E^*, \mathbf{P}, \epsilon) = 100 \times \left| \frac{\mathcal{M}_L(E + i\epsilon, \mathbf{P}) - \mathcal{M}(E^*)}{\mathcal{M}(E + i\epsilon, \mathbf{P})} \right|, \quad (48)$$

plotted in the lower panels of Fig. 4. Even for $mL = 30$ the systematic uncertainty is well above 20% for a wide range of energies. Further investigation finds that volumes in the order of $mL = 10^2 - 10^3$ are required to recover amplitudes at the percent level from this approach.

This calls for improved strategies in extracting the amplitude. For example, note that the curves in Fig. 3(a) oscillate as a function of E^* , around some underlying curve, for any given values of ϵ and L . Smaller ϵ values generate oscillations with higher amplitude and lower frequency and the relative phases depend also on the specific choice of \mathbf{P} . This suggests that the average over curves defined with different \mathbf{P} may approach $L \rightarrow \infty$ result more quickly than the individual functions.

Of course, this proposal faces the complication that continuous curves of \mathcal{M}_L are not accessible with general $\mathcal{J}(0)$. At this stage we see two viable paths forward. First, one might achieve an approximate averaging by binning together points, across different \mathbf{P} values, within a given range of E^* . Second, as the Compton amplitude is physically interesting for all values of Q^2 , this observable provides more flexibility in exploring averaging strategies. For the remainder of this work we choose to focus on the latter, leaving more complicated strategies for hadronic amplitudes to future publications.

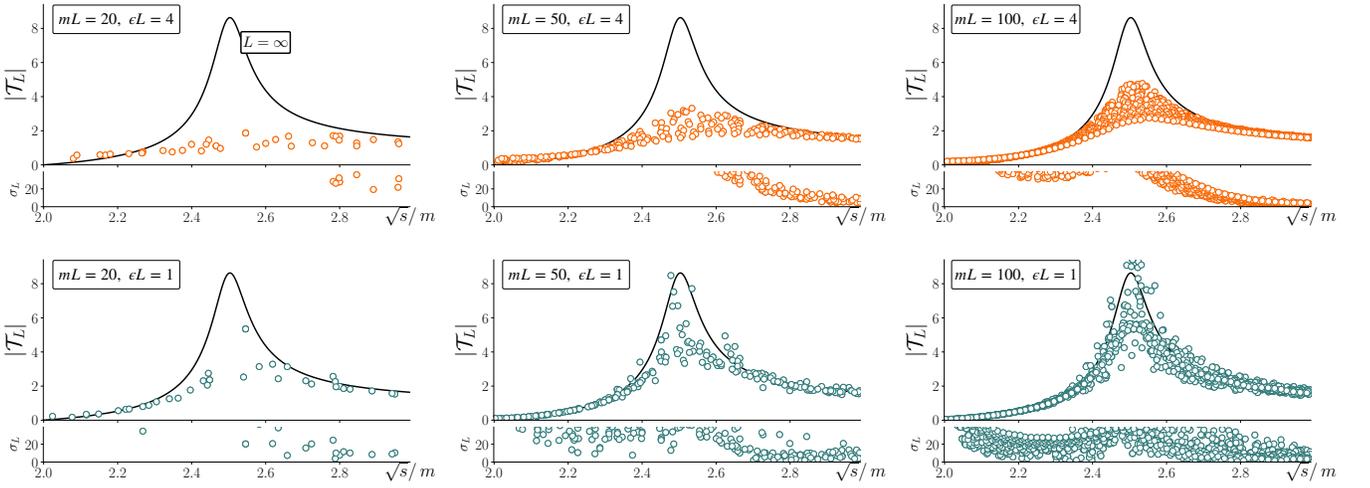


FIG. 5. Comparison of the infinite-volume amplitude, \mathcal{T} (black curve), with the finite-volume estimator $\overline{\mathcal{T}}_L$, defined in Eq. (49), (colored points) both with $Q^2 = Q_{if}^2 = 2m^2$. Here we use the same K-matrix parametrization as in Fig. 4 [see also Eqs. (39) and (40)]. The details of the binning are given in Eq. (50) and the surrounding text. Finally, the small lower plots on each panel indicate the percent deviation, with σ_L defined in Eq. (48), with \mathcal{T} in place of \mathcal{M} .

B. Compton amplitude: Binning over similar kinematics

We continue to use the parameterizations described in Eqs. (39) and (40) with the K matrix parameters of the previous section ($m_R = 2.5m$, $g = 2.5$, $h(E^{*2}) = 0$) but now setting $M = m_R$ for the form-factor mass within \mathbf{H} . As $\mathcal{T}(E^*, Q^2, Q_{if}^2)$ has more degrees of freedom than $\mathcal{M}(E^*)$, one needs an approach to estimate and present the more complicated functional form. We find it most instructive to plot slices of the Compton amplitude, defined with certain kinematics fixed. To achieve this we define the following function

$$\overline{\mathcal{T}}_L(\overline{E^*}, \overline{Q^2}) = \frac{1}{\mathcal{N}} \sum_{L, \epsilon} \sum_{\{\mathbf{q}, \mathbf{p}_f, \mathbf{p}_i, \omega\} \in \Omega} \delta(\mathbf{q}, \mathbf{p}_f, \mathbf{p}_i, \omega | \overline{E^*}, \overline{Q^2}) \mathcal{T}_L(p_f, q, p_i), \quad (49)$$

where $\delta = 0$ or 1 based on a binning criteria and \mathcal{N} counts the number of contributions, to normalize the average in a given bin. We have also introduced Ω to represent the set of all redundant kinematics over which the average may be performed. Finally the left-hand side only depends on a single virtuality $\overline{Q^2}$ as we enforce $Q^2 = Q_{if}^2$ in all plots considered in this section.

As a first example, in Fig. 5 we consider fixed values of L and ϵ ($mL = 20, 50, 100$ and $\epsilon L = 1, 4$, as indicated in the plot), and also perform no averaging over energy, i.e. we set $E^* = \overline{E^*}$. The binning procedure thus runs only over Q^2 and Q_{if}^2 and is defined by taking $\delta = 1$ whenever

$$|\overline{Q^2} - Q^2| < \Delta_{Q^2} \quad \text{and} \quad |Q_{if}^2 - Q^2| < \Delta_{Q^2}, \quad (50)$$

and $\delta = 0$ otherwise. Here we fix the target value to $\overline{Q^2} = 2m^2$ and the resolution to $\Delta_{Q^2} = 0.01m^2$. In the set Ω we include all \mathbf{p}_f , \mathbf{p}_i , and \mathbf{q} sampled independently from $-2\pi\mathbf{d}_{\max}/L$ to $2\pi\mathbf{d}_{\max}/L$ in discrete steps of $2\pi/L$, where we take $\mathbf{d}_{\max} = mL$. The latter is a somewhat arbitrary choice motivated by the fact that the number of available modes scales with L if the spatial discretization is held fixed. The result of this construction is that $\overline{\mathcal{T}}_L$ continues to exhibit dramatic deviations from the infinite-volume amplitude, especially in the resonance region. This is also emphasized in the bottom panel σ_L plots, where the definition for \mathcal{T} is inherited from that for \mathcal{M} , Eq. (48).

To improve the situation further, in Fig. 6 we average over $mL = 20, 25, 30$, to cancel fluctuations associated with a specific L value, and we further include E^* as a binned coordinate within Ω . For the latter we sample $\overline{\mathcal{T}}_L$ in discrete steps of $\overline{E^*}$, separated by $2\Delta_{E^*}$ where $\Delta_{E^*} = 0.1m$. We then evaluate Eq. (49) with $\delta = 1$ whenever

$$|\overline{Q^2} - Q^2| < \Delta_{Q^2} \quad \text{and} \quad |Q_{if}^2 - Q^2| < \Delta_{Q^2} \quad \text{and} \quad |\overline{E^*} - E^*| \leq \Delta_{E^*}. \quad (51)$$

Finally we use a more aggressive choice in ϵ as compared to the previous plot and a slightly coarser binning in virtualities: $\epsilon(L) = 1/(L(mL)^{1/2})$ and $\Delta_{Q^2} = 0.05m^2$.

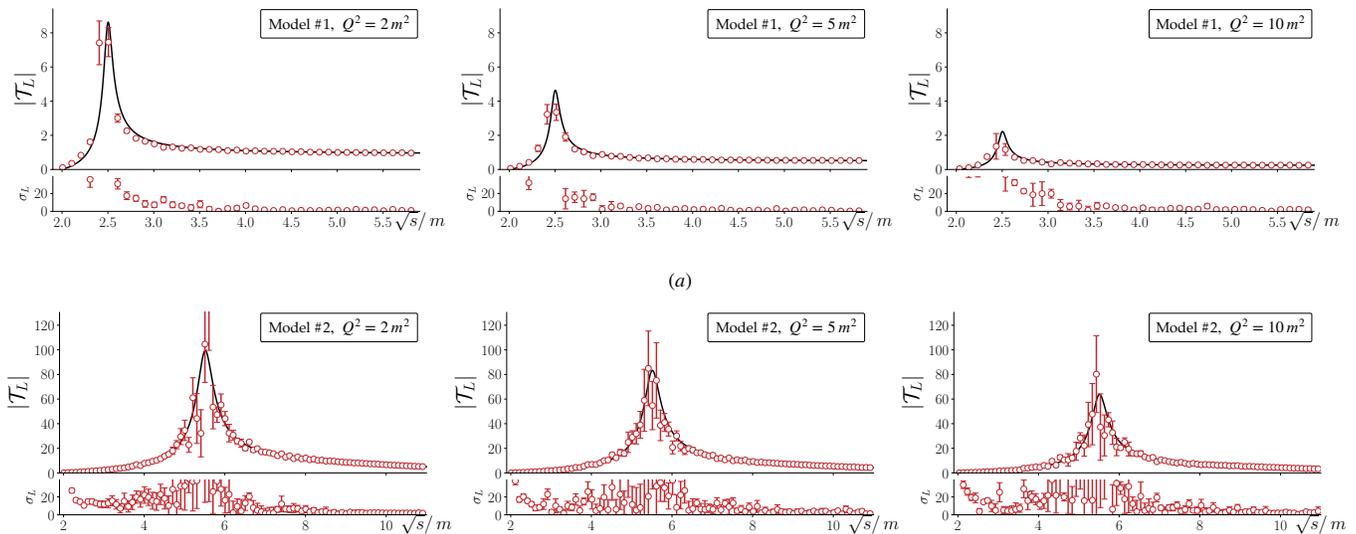


FIG. 6. (a) Comparison of the infinite-volume amplitude, \mathcal{T} (black curve), with the finite-volume estimator detailed in Eqs. (49) and (51) (red points). Here all data is generated according to the *Model 1* parameter set (also used in Fig. 5): $m_R = 2.5m$, $g = 2.5$, $h(E^{*2}) = 0$. (b) As with (a) but using the *Model 2* parameter set: $m_R = 5.5m$, $g = 6$, $h(E^{*2}) = 0.2/m^2$.

The procedure defining Fig. 6, the most complicated considered in this work, also achieves the best reconstruction of the infinite-volume amplitude. To check whether this is robust we apply the procedure to six different functions, defined by three choices of virtualities [$Q^2 = 2m^2, 5m^2, 10m^2$] together with two different models for the underlying resonance parameters. For the latter we define *Model 1* with $m_R = 2.5m$, $g = 2.5$, $h(E^{*2}) = 0$, as above, followed by *Model 2*, with $m_R = 5.5m$, $g = 6$, $h(E^{*2}) = 0.2/m^2$; see again Eq. (39). Note that the reconstruction is less effective in reproducing singularities of the amplitude. This is evident from the spikes in σ_L close to the threshold (a kinematic singularity) and near the resonant peak (near the dynamical singularity of the resonance pole). As can be seen from comparing σ_L in Fig. 6 (a) and (b), the narrower peak, corresponding to a nearer pole, also challenges the reconstruction. This is consistent with the behavior observed in Refs. [63, 64] in the context of obtaining amplitudes through solving inverse problems using Euclidean correlators.

V. CONCLUSION

In this work, we have explored the possibility of extracting scattering amplitudes from finite-volume Minkowski correlation functions. We focused our attention to Compton-like amplitudes, where single particle states are coupled via two current insertions. Following the formalism presented in Ref. [46], we have constructed the functional form of these labeled \mathcal{T}_L in 1+1D. These quantities are defined to asymptote to the infinite-volume Compton amplitudes, \mathcal{T} .

In Section III we define \mathcal{T}_L and sketch the derivation presented in Ref. [46]. For simplicity we have considered a system composed of two scalar particles in 1+1D, with kinematics where only a single channel is open. We explain how the infinite-volume limit may be obtained analytically, which requires the introduction of a non-zero imaginary shift to the energy $i\epsilon$ and taking an ordered double-limit $\lim_{\epsilon \rightarrow 0} \lim_{L \rightarrow \infty}$, see Eq. (27).

In Section IV, we proceed investigate the required volumes to recover the infinite-volume amplitude. We find that naïve analysis requires volumes of order $mL = \mathcal{O}(10^2) - \mathcal{O}(10^3)$, one to two orders of magnitude larger than volumes currently used in lattice calculations, to recover the infinite-volume amplitude at the few-percent level.

In order to overcome this issue, in Sec. ?? we took advantage of the fact that the infinite-volume Compton amplitude depends on less kinematic variable than its finite-volume analogue. By introduce a small value of ϵ , we observe that the finite-volume amplitude acquires an oscillatory behavior near the infinite-volume amplitude. By binning over the redundant kinematic points, we see that the resultant average converges faster to the desired amplitude. For illustration we consider two different models for the amplitude and present one possible prescription for carrying out this binning.

At this point, this exercise provides a proof of principle that infinite-volume inclusive amplitudes may be recovered using volumes $mL \sim 20 - 30$, reducing the spatial extend of the volumes by about one to two orders of magnitude. The accuracy of this procedure increases with the number of boosts considered. The obvious limitation is that in

order to obtain larger total momenta, one must have finer lattice spacing.

In closing we would like to leave a few general remarks about this framework. First, we would like to reiterate that this is a new quantity that may provide access to physical observable without the need to develop increasingly complicated formalism. Although we have considered a remarkably simple scenario, the framework is irrespective of the number and nature of the open channels. In other words, this may be mechanism for accessing dynamical amplitudes for inclusive processes at arbitrary kinematics. This may lead to the determination of, for example, the hadronic tensor as proposed recently in Ref. [10] among many other matrix elements.

As discussed in Sec. IV the efficiency of the recovery of the amplitude depends on the value chosen for ϵ . Although we have done an empirical investigation of this choice, a more careful analysis will need to wait. This is a non-trivial problem, because the optimal choice may depend on the underlying dynamic which are a-priori unknown. A potential fruitful venue of investigation is consider the usage of machine learning to constrain the underlying amplitude from the finite-volume amplitude. Another possible future investigation would be to explore the advantage of performing global fits to these amplitudes. In other words, one can construct functional forms that satisfy unitarity and the analytic properties of the amplitude, and fits these to describe the averaged finite-volume amplitudes.

Finally, for 3+1D spacetime calculations obtaining increasingly larger volumes may be prohibited by the needed computational resources. On the other hand, one may access an even larger momenta given the additional rotational degrees of freedom, making this technique even more appealing. This will need to be considered in future investigations.

VI. ACKNOWLEDGEMENTS

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Appendix A: Finite-volume function and phase space in 1+1D

In this appendix, we demonstrate the equivalence of Eqs. (21) and (22) up to terms scaling as e^{-mL} . The first step is to rewrite (21), by substituting $(P - k)^2 - m^2 = (E^* - \omega_k^*)^2 - k^{*2} - m^2 = E^*(E^* - 2\omega_k^*)$ and applying the Poisson summation formula

$$F(E, \mathbf{P}, L) = \frac{1}{2E^*} \sum_{n \neq 0} \int \frac{dk}{2\pi} \frac{1}{2\omega_k} \frac{e^{inLk}}{E^* - 2\omega_k^*}, \quad (\text{A1})$$

where the sum runs over all nonzero integers. Here we have not explicitly displayed the $i\epsilon$ as this enters in a more complicated way. In particular the prescription uses $E^* + i\epsilon$ for the CM frame energy, and this is then passed into β , γ as well as all other quantities carrying a \star superscript. Combining Eq. (A1) with the Lorentz invariance of dk/ω_k and also substituting the boost relation, $k = \gamma(k^* + \omega_k^*\beta)$, then gives

$$F(E, \mathbf{P}, L) = \frac{1}{2E^*} \sum_{n \neq 0} \int \frac{dk^*}{2\pi} \frac{1}{2\omega_k^*} \frac{e^{inL\gamma(k^* + \omega_k^*\beta)}}{E^* - 2\omega_k^*}. \quad (\text{A2})$$

Next we multiply by 1 in the form $[E^* + 2\omega_k^*]/[E^* + 2\omega_k^*]$ and rearrange to reach

$$F(E, \mathbf{P}, L) = \frac{1}{2E^*} \sum_{n \neq 0} \int \frac{dk^*}{2\pi} e^{inL\gamma(k^* + \omega_k^*\beta)} \frac{1}{2\omega_k^*} \frac{4\omega_k^* + (E^* - 2\omega_k^*)}{E^{*2} - 4\omega_k^{*2}}, \quad (\text{A3})$$

$$= \frac{1}{4E^*} \sum_{n \neq 0} \int \frac{dk^*}{2\pi} \frac{e^{inL\gamma(k^* + \omega_k^*\beta)}}{q^{*2} - k^{*2}} + \mathcal{O}(e^{-mL}), \quad (\text{A4})$$

where in the second step we have used the fact that the $(E^* - 2\omega_k^*)$ -term in the numerator of Eq. (A3) cancels the pole and thus leads to exponentially suppressed L dependence as shown in Eq. (A4). Specifically, the e^{-mL} scaling arises from the branch cuts within, ω_k^* running from $k^* = \pm im$ to $\pm i\infty$.

At this stage, in order to evaluate the integral we need to examine the implicit factors of ϵ in q^* and k^* . First note that the ϵ -dependence of k^* arises from the relation

$$k^* = \gamma(k - \omega_k\beta) = \frac{\sqrt{(E^* + i\epsilon)^2 + \mathbf{P}^2}}{E^* + i\epsilon} \left(k - \omega_k \frac{\mathbf{P}}{\sqrt{(E^* + i\epsilon)^2 + \mathbf{P}^2}} \right), \quad (\text{A5})$$

together with the fact that the original integral runs over real k . In other words, the integral over k on the real axis is equivalent to integrating k^* along the contour defined by this expression. But it is straightforward to show that this can be deformed to the real line in k^* without changing the value of the integral. The next step is to make the ϵ dependence within q^{*2} explicit. One finds $q_\epsilon^{*2} = q_0^{*2} + i\epsilon E_0^*/2 - \epsilon^2/4$, i.e. the poles are off the real line, exactly as for infinitesimal choices of ϵ .

We are now ready to evaluate the integral, by closing the k^* contour in the upper or lower half of the complex plane. In doing so we encircle an isolated pole and a branch cut, but only the former contributes to the power-like L dependence we are after. We find

$$F(E, \mathbf{P}, L) = -i \frac{1}{8q^* E^*} \sum_{n \neq 0} \exp \left[iL\gamma (|n|q^* + n\omega_q^* \beta) \right] + \mathcal{O}(e^{-mL}). \quad (\text{A6})$$

Summing the geometric series, with convergence guaranteed by the $i\epsilon$ prescription, we conclude

$$F(E, \mathbf{P}, L) = -i\rho(E^*) \left\{ -2 + \frac{1}{1 - e^{iL\gamma(q^* + \omega_q^* \beta)}} + \frac{1}{1 - e^{iL\gamma(q^* - \omega_q^* \beta)}} \right\} + \mathcal{O}(e^{-mL}), \quad (\text{A7})$$

which is equivalent to Eq. (22). Note that (for real E^*) $\text{Im} F(E, \mathbf{P}, L) = \rho(E^*)$.

Appendix B: Boost averaging

Starting with Eq. (A6), $F(E, \mathbf{P}, L)$ can be conveniently written as

$$F(E_\epsilon, \mathbf{P}, L) = -2i\rho(E_\epsilon^*) \sum_{n > 0} e^{inL\gamma_\epsilon q_\epsilon^*} \cos(nL\gamma_\epsilon \omega_{q,\epsilon}^* \beta_\epsilon), \quad (\text{B1})$$

where we have combined the $n > 0$ and $n < 0$ pairs into the cosines. Here we have also dropped the $\mathcal{O}(e^{-mL})$ and will neglect this term throughout this section, as we have also done in the main text. In addition, the ϵ has been made explicit in all quantities that depend on this parameter. This expression can be simplified by substituting $L\gamma_\epsilon \omega_{q,\epsilon}^* \beta_\epsilon = L\gamma E_\epsilon^* (\mathbf{P}/E_\epsilon)/2 = L\mathbf{P}/2 = \pi d$ with $\mathbf{P} = 2\pi d/L$, implying

$$F(E_\epsilon, \mathbf{P}, L) = -2i\rho(E_\epsilon^*) \sum_{n > 0} (-1)^{nd} \left(e^{iL\gamma_\epsilon q_\epsilon^*} \right)^n. \quad (\text{B2})$$

Thus, the sum exhibits a very simple phase oscillation that holds exactly, even at non-infinitesimal values of ϵ .

To reduce further, we use the fact that $\text{Im}[\gamma_\epsilon q_\epsilon^*] > 0$ implying $(e^{-\epsilon L \text{Im}[\gamma_\epsilon q_\epsilon^*]})^n$ is suppressed for larger values of n . In addition, although we are working with non-infinitesimal values of $\epsilon/m, 1/(mL)$, we do take the parameters significantly smaller than one, in order to achieve an approach towards the infinite-volume amplitudes. This motivates an expansion of F based in the power-counting scheme $\{\epsilon/m, 1/(mL), e^{-L \text{Im}[\gamma_\epsilon q_\epsilon^*]}\} = \mathcal{O}(\delta)$. The leading-order expression is given by

$$F(E_\epsilon^*, \mathbf{P}, L) = -2i\rho(E_0^*) (e^{-L\epsilon})^{\alpha_0} e^{iL\gamma_0 q_0^*} (-1)^d + \mathcal{O}(\delta^2), \quad (\text{B3})$$

where α_0 is given by

$$\alpha_0 = \left. \frac{\partial(\gamma_\epsilon q_\epsilon^*)}{\partial(i\epsilon)} \right|_{\epsilon=0} = \frac{E_0^{*2}}{4q_0^* \sqrt{E_0^{*2} + \mathbf{P}^2}} \left[1 + \frac{4m^2 \mathbf{P}^2}{E_0^{*4}} \right]. \quad (\text{B4})$$

We now turn to the boost averaged F , denoted by \tilde{F} . Substituting our approximate form gives

$$\tilde{F}(E_\epsilon, L) \equiv \frac{1}{N_d} \sum_{d=0}^{N_d-1} F(E_\epsilon, \mathbf{P}, L) = -2i\rho(E_0^*) \frac{1}{N_d} \sum_{d=0}^{N_d-1} (e^{-L\epsilon})^{\alpha_0} e^{iLq_0^* \gamma_0} (-1)^d + \mathcal{O}(\delta^2), \quad (\text{B5})$$

where N_d is the number of boosts. Observe that the sum appearing in $\tilde{F}(E, L)$ does not scale as N_d , due to the alternating sign of $(-1)^d$. As a result the boost averaged value of F , and thus also the L dependence of the finite-volume amplitudes discussed in Secs. III and IV, is suppressed by $1/N_d$.

In order to illustrate the expected suppression for the boost averaged F , we consider the function F for the first six boosts, $d = 0 - 6$, and the average over these. First, we focus on the top panel of Fig. 7, we plot the mL dependence

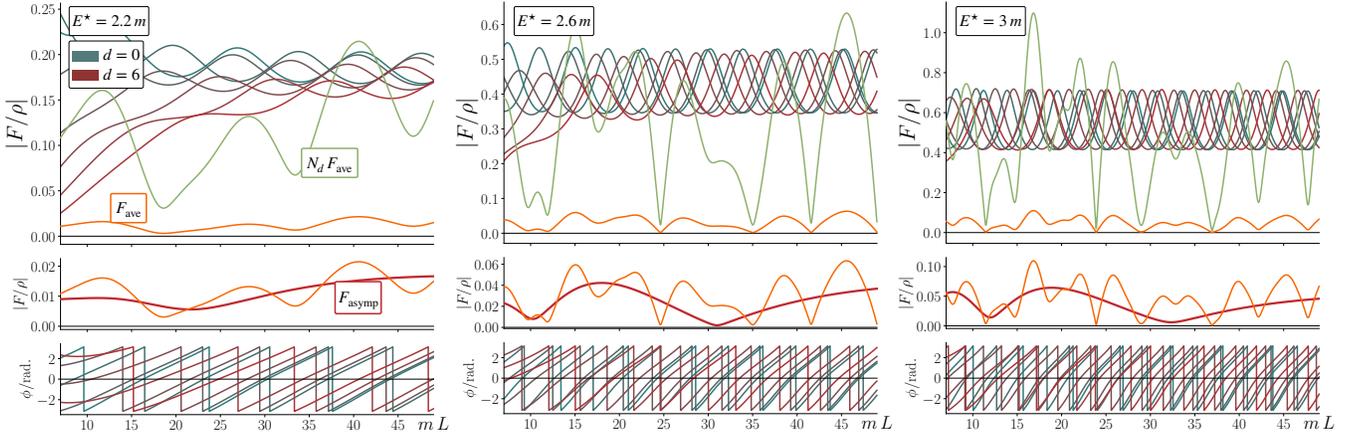


FIG. 7. (a) Finite-volume function $F(E + i\epsilon, \mathbf{P}, L)$, for seven different momenta ($d = 0, 1, \dots, 6$, with $\mathbf{P} = 2\pi d/L$; colored red to teal). We additionally plot the boost-averaged function \tilde{F} (orange line) and the product $N_d \tilde{F}$ (light-green). (b) Comparison of the average function, \tilde{F} , with the approximation given by Eq. (B5). (c) Complex phase for each individual F [$\phi = \arctan(\text{Im}F/\text{Re}F)$], for the seven momenta plotted in (a). All panels are plotted with $\epsilon L = 1$ and $N_d = 7$.

of the function F for the six boosts considered (red to teal lines) noting that they are of the same order of magnitude. More over the sum over N_d boosts of $F(E, \mathbf{P}, L)$, corresponding to $N_d |\tilde{F}(E^*, L)|$, is also of the order of $|F|$. Then, the boost averaged \tilde{F} is suppressed by the factor $1/N_d$ in front of its definition, Eq. (B5). We now turn our attention to the orange line in Fig. 7, corresponding to the full \tilde{F} , and we confirmed that as expected is very suppressed with respect \tilde{F} for a single boost.

The behavior previously discussed is due to the alternating sign in Eqs. (B3), which allows to cancel a significant part of the phases of F , plotted in the bottom panel, in the average sum. Finally, the center panel shows the asymptotic approximation of \tilde{F} , plotted in red and analytically given by Eq. (B5), compared to the exact average. We note that the orange line, corresponding to the exact \tilde{F} , oscillates around its asymptotic approximation. This indicates that the power-counting scheme proposed is suitable, at least for the ϵ value chosen, and the leading term mainly captures F .

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